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JC10 Rec'd PTO 31 MAY 2005

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DOCKET NO. UCSF.011.00US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jairam R. Lingappa *et al.*

) Examiner:

Serial No.: 10/527,973

) Art Unit:

Filed: March 14, 2005

)

Title: Viral deconstruction through capsid assembly in vitro

) INFORMATION DISCLOSURE
) STATEMENT TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is:

- ☒ An Information Disclosure Statement.
- ☒ A PTO-1449 Form.
- ☐ Copies of references A1, B1 – B4 as listed on the PTO-1449 Form.
- ☒ An International Search Report.
- ☒ Return postcard (postage prepaid).

CERTIFICATE OF EXPRESS MAILING

EV 370276561 US
(Express Mail Label No.)

May 26, 2005
(Date of Deposit)

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Margot Turner
(Signature)

Margot Turner
(Printed Name)

1. ☒ This Information Disclosure Statement is filed before the later of:
- ☐ three months from the filing date of this national application;
 - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
 - ☒ the mailing date of a first office action on the merits.
- ☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
- (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.
- ☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
- ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$180.00; or
 - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
- (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.
- ☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:
- (1) A certification, as stated below;
 - (2) A Petition requesting consideration of the Information Disclosure Statement; and
 - (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.00.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

TOTAL FEES: \$[FILL IN AMOUNT]

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of \$[FILL IN AMOUNT].
- ☐ A check in the amount of \$[FILL IN AMOUNT] is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.

PATENT

DOCKET NO. UCSF.011.00US

Respectfully submitted,

Dated: May 23, 2005



Barbara Rae-Venter, Ph.D.

Reg. No. 32,750

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ATTORNEY DOCKET NO. UCSF.011.00US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jairam R. Lingappa *et al*

Serial No.: 10/527,973

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For: Viral deconstruction through capsid assembly
in vitro

) Examiner:

) Art Unit:

) INFORMATION DISCLOSURE
) STATEMENT

BOX DD

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EV 370276561US

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Margot Turner
(Signature)

Margot Turner
(Printed Name)

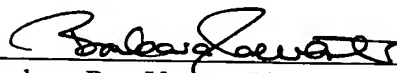
PATENT

ATTORNEY DOCKET NO. UCSF.011.00US

The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: May 23, 2005



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PATENT

DOCKET NO. UCSF.011.00US

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 1

In re the application of: Jairam R. Lingappa, *et al.*] Art Unit:

Serial No. 10/527,973] Examiner:

Filed: March 14, 2005

U.S. PATENT DOCUMENTS

Ref.	Examiner's	Document			Class/	Filing Date
Desig.	Initials	Number	Date	Name	Subclass	
A1	R.W.	5,750,394	May 12, 1998	Palese <i>et al</i>	435/252.3	May 20, 1994

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref.	Examiner's	
Desig.	Initials	
B1		Beatch. (2000) <u>Journal of Virology</u> 74, 5569.
B2		Bollengie. F. (1993) <u>Arch Int Physiol Biochem Biophys</u> 101, Abstract.
B3		Sakalian. M. (1996) <u>Journal of Virology</u> 70, Abstract, 3707-3708.
B4		Soochul, P. (1997) <u>Oncogene</u> 14, 533-542.

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.